

COURSE SCHEDULE

COURSE: *ICA INTERNATIONAL DIPLOMA IN GOVERNANCE RISK & COMPLIANCE*

Course Commencement Date: Thursday September 12, 2022

Time: 5:45-7:45 / Venue: Online with potential for in-person classes

Cohort 17: Fall 2022

WEEK	TOPIC	DATE
	Course content can be accessed online	September 12, 2022
	Course Manual reading weeks	September 12, 2022 to September 22, 2022
1.	Introduction to GRC Concepts	September 22, 2022
2.	Conflicts of Interest	September 29, 2022
3.	Regulatory Structural Models	October 6, 2022
4.	Regulatory Initiatives: Data Protection, Economic Substance, Beneficial Ownership	October 13, 2022
5.	Regulatory transparency, accountability, and independence Regulatory maturity	October 20, 2022
	Assignment 1 due date	December 19, 2022
	Results for Assignment 1	February 10, 2023
6.	Policies, procedures and embedding them into the culture of the firm	January 19, 2023
7.	Ethics, integrity and organizational culture	January 26, 2023
8.	Regulatory risk management, and embedding a GRC framework	February 2, 2023
9.	Senior management responsibility Complaints handling	February 9, 2023
10.	Managing regulatory risk for the benefit of your firm	February 16, 2023
	Assignment 2 due date	March 24, 2023
	Results for Assignment 2	May 12, 2023
11.	Role of the compliance function Tools of the trade	March 30, 2023
12.	Regulatory risk management 1	April 6, 2023
13.	Designing and implementing systems and controls	April 13, 2023
14.	Regulatory risk management 2	April 20, 2023
15.	Designing a risk management compliance programme	April 27, 2023

	Assignment 3 due date	June 23, 2023
	Results for Assignment 3	September 1, 2023